Form G-FIN

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FR G-FIN OMB No. 7100–0224 Average hours per response: 1.0 Approval expires March 31, 2007

official use

Notice of Government Securities Broker or Government Securities Dealer Activities

To Be Filed by a Financial Institution Under Section 15C(a)(4)(B) AND EXCHANGE COMMISSION

of the Securities Exchange Act of 1934

			1	الناسان المساك
Check appropriate regulatory ag	ency (ARA):	2. Conducts business	s as: MA}	R 2 4 2006
C. 🔼 Federal Deposit Insuran	ne Federal Reserve System ce Corporation	A. Governme B. Governme C. Governme	ent Securities Brêker H. O ent Securities Dealer ent Securities Broker and	F REGISTRATIONS AND WINATIONS Dealer
D.		3. Filing status of not	ice:	
		A. D Notice B. Amendme		ESSED 1 2008
4. A. Full name of the financial inst Caro lina	itution: First Ban	k	THOM FINAN	SON
B. Address of principal office of	financial institution:		`	
104 S.	Main Street	t Greenvi	110 SC 2	9601
C. Address of principal office v (if different from item (B)):	where government securities	-		
D. Mailing address if different fro				
E. Name, title and telephone number of the second s	•		Jant 8/04-	255-4768
Name	Title	ive procerria	Telepho	one
 Does financial institution conduct other than given in Question 4 a (If yes, provide addresses and d 	bove?	ecurities broker or governm A. Yes	nent securities dealer acti B. 🔯 No	vities at any location
(ii yes, provide addresses and d	escribe activities.			
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	1000	108		
	Free	- 1. (200)	1 1 1	
	1 1			
		SCMT	- / /	

6.	Furnish the name and title of each person who is directly engaged in the management, direction or supervision of any of the financial institution's government securities broker or government securities dealer activities:				
	Full Name				
	Monroe	James	Charles, Jr	EVP	
	l.ast	First	Middle	EVP Title VP	•
	Toole	DYN_	Coleman	VP	-
	Last	First	Middle) nie	
	Last	First	Middle	Title	-
	Last	First	Middle	Title	-
	Last	First	Middle	Title	-
	Note: Attach a sitem 6.	eparate Form G-FIN-4 (or	if previously filed, a copy of Form	MSD-4 or Form U-4) for each person named ir	1
7.			n in paragraph A.7. of the instruction estions in Items 23 through 26 of Form	ns) responded "yes" to any question in Item 17 MSD-4 or Item 22 on Form U-4?	?
			A. Yes B.	XI No	
	of any associate	ed person during the imm	nediately preceding three years for	ble for making an inquiry of all other employers the purpose of verifying the accuracy of the juirements are applicable to Form MSD-4 and	е
8.		stitution submitting this r urrent and complete.	notice and the person executing it r	epresent that all of the information contained	t
	Please print name	e and title of person executi	ng this notice:		
	James		Monroe	Executive Vice Pres	:den7
	First	Middle	Last	Title	1.0000
		los C Will	l I	2-7-06	_
	Manual Signature	\	7	Date	

Form G-FIN

Rep. 3/24/06

SECURITIES AND EYELFINGS COMMISSION MAR 2 4 2008

BRANCH OF REGISTRATIONS

08 EXAMINATIONS 80

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OFFICIAL USE 11-303

Notice of Government Securities Broker or Government Securities Dealer Activities To Be Filed by a Financial Institution Under Section 15C(a)(1)(B) of the Securities Exchange Act of 1934

. Check appropriate regulatory agency (ARA):	2. Conducts business as:
 A. Comptroller of the Currency B. Board of Governors of the Federal Reserve System C. Federal Deposit Insurance Corporation D. Office of Thrift Supervision E. Securities and Exchange Commission 	A. Government Securities Broker B. Government Securities Dealer C. Government Securities Broker and Dealer 3. Filing status of notice:
	A. ☐ Notice B. ☑ Amendment
A. Full name of the financial institution: Carolina First Band	<i>K</i>
B. Address of principal office of financial institution:	Greenville SC 29601
(if different from item (B)):	roker or government securities dealer activities will be conducted
D. Mailing address if different from (B) or (C):	f Greenville SC 29601
E. Name, title and telephone number of contact person with response Executive Name	ve Vice President 864-255-4768 Telephone
other than given in Question 4 above?	curities broker or government securities dealer activities at any location A. B. No
(If yes, provide addresses and describe activities.)	
	F310 (F.S)
	SCMI

Full Name	lamaa	01 1 +	5u 0
Monroe	James First	Middle	Title
Toole	Ben	Coleman	VP
Last	First	Middle	Title
Last	First	Middle	Title
Last	First	Middle	Title
Last	First	Middle	Title
Note: Attach a sitem 6.	separate Form G-FIN-4 (or, if	previously filed, a copy of Form MS	SD-4 or Form U-4) for each person named in
-	•	n paragraph A.7. of the instructions) ions in Items 23 through 26 of Form M	responded "yes" to any question in Item 17 SD-4 or Item 22 on Form U-4?
	A	☐ Yes B. 🕱	No
of any associat	ed person during the imme	diately preceding three years for th	e for making an inquiry of all other employers the purpose of verifying the accuracy of the rements are applicable to Form MSD-4 and
	stitution submitting this not urrent and complete.	ice and the person executing it rep	resent that all of the information contained
Please print nam	e and title of person executing	this notice:	
James First		Monrue Last	Executive Vice President
	los i Wierd		2-7-06
Manual Signature		7	Date

6. Furnish the name and title of each person who is directly engaged in the management, direction or supervision of any of the financial

institution's government securities broker or government securities dealer activities:

Form G-FIN

Roois Joulos

SECURITIES AND EXCHANGE COMMISSION

MAR 2 4 2006

BRANCH OF REGISTRATIONS
AND
OR EXAMINATIONS

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Notice of Government Securities Broker or Government Securities Dealer Activities To Be Filed by a Financial Institution Under Section 15C(a)(1)(B) of the Securities Exchange Act of 1934

٦.	Check appropriate regulatory agency (ARA):	7	2. Conducts business as:		
	 A. □ Comptroller of the Currency B. □ Board of Governors of the Federal Rese C. ▷ Federal Deposit Insurance Corporation D. □ Office of Thrift Supervision E. □ Securities and Exchange Commission 	•	A. Government Set B. Government Set C.: Government Set Government Set B. Filing status of notice:	ecurities Dealer	Dealer
			A. D Notice B. Amendment		
4.	A. Full name of the financial institution:	A 1			
	Carolina Fixs7	L Bank			
	B. Address of principal office of financial institution				
	104 S. Main	Street	Greenville	, SC 2	9601
	C. Address of principal office where governme (if different from item (B)):	ent securities broke	r or government securitie	es dealer activities v	will be conducted
	104 S. Main	Street	Greenvill.	2 C S	29601
	D. Mailing address if different from (B) or (C):				
	E. Name, title and telephone number of contact Tames C Monroe Name	•		t 864-:	255-4768
	Name	Title		Telephon	e
	Does financial institution conduct, or will it conduct other than given in Question 4 above?	A.		ecurities dealer activi	ties at any location
	(If yes, provide addresses and describe activities	;.)			
	-			Gr. Ś	ì
				The state of the s	
		SCM	T		
	•	the same of the sa	į		

Full Name	j		
Nonroe	James	Charles, Jr	EVP
Toole	First	Middle	Title V P
Last	First	Middle	Title
Last	First	Middle	Title
Last	First	Middle	Title
Last	First	Middle	Title
Note: Attach a sitem 6.	separate Form G-FiN-4 (or, i	f previously filed, a copy of Form MSI	O-4 or Form U-4) for each person named in
-	·	in paragraph A.7. of the instructions) tions in Items 23 through 26 of Form MS	responded "yes" to any question in Item 17 D-4 or Item 22 on Form U-4?
	A	. 🗌 Yes B. 💢	No
of any associat	ed person during the imme	diately preceding three years for the	for making an inquiry of all other employers purpose of verifying the accuracy of the ements are applicable to Form MSD-4 and
	stitution submitting this no urrent and complete.	tice and the person executing it repr	esent that all of the information contained
Please print nam	e and title of person executing	g this notice:	
James First	Middle	Monroe	Executive Vice Presiden
	los i Wiesel		2-7-06
Manual Signature	V	7	Date

6. Furnish the name and title of each person who is directly engaged in the management, direction or supervision of any of the financial

institution's government securities broker or government securities dealer activities: